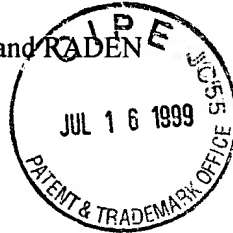


PATENT

Docket: 3606-4001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): GILLIN, KORFMANN and RADEN Group Art Unit: 2761
Serial No.: 09/302,218 Examiner: To Be Assigned
Filed: April 29, 1999
For: **FINANCIAL SERVICES PAYMENT VEHICLE AND METHOD**



CERTIFICATE OF MAILING (37 C.F.R. 1.8a)

Assistant Commissioner For Patents
BOX MISSING PARTS
Washington, DC 20231

Sir:

I hereby certify that the attached:

- 1). Executed Combined Declaration and Power of Attorney;
- 2). Executed Associate Power of Attorney;
- 3). Response to Notice to File Missing Parts of Application (in duplicate)
Filing Date Granted;
- 4). Notice to File Missing Parts - Part 2 (PTO-1533);
- 5). Check in the amount of \$65.00;
- 6). Return Receipt Post Card, and
- 7). This certificate of mailing

(along with any paper(s) referred to as being attached or enclosed) and this Certificate of Mailing are being deposited with the United States Postal Service on the date shown below with sufficient postage as first-class mail in an envelope addressed to the: Assistant Commissioner for Patents, Box Missing Parts, Washington, D.C. 20231.

Respectfully submitted,

MORGAN & FINNEGAN, L.L.P.

Dated: July 13, 1999

By:

Gilberto Soto, Jr.

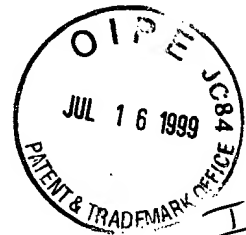
Mailing Address:

MORGAN & FINNEGAN, L.L.P.
345 Park Avenue
New York, New York 10154-0053
(212) 758-4800
(212) 751-6849 (Fax)

Gp 2761

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): GILLIN, KORFMANN and RADEN Group Art Unit: 2761
Serial No.: 09/302,218 Examiner: To Be Assigned
Filed: April 29, 1999
For: **FINANCIAL SERVICES PAYMENT VEHICLE AND METHOD**



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TECH CENTER 2700

CERTIFICATE OF MAILING (37 C.F.R. 1.8a)

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, DC 20231

Sir:

I hereby certify that the attached:

- 1). Statement Claiming Small Entity Status;
- 2). Return Receipt Post Card, and
- 3). This certificate of mailing

(along with any paper(s) referred to as being attached or enclosed) and this Certificate of Mailing are being deposited with the United States Postal Service on the date shown below with sufficient postage as first-class mail in an envelope addressed to the: Assistant Commissioner for Patents, Box Missing Parts, Washington, D.C. 20231.

Respectfully submitted,

MORGAN & FINNEGAN, L.L.P.

Dated: July 13, 1999

By: 

Gilberto Soto, Jr.

Mailing Address:

MORGAN & FINNEGAN, L.L.P.
345 Park Avenue
New York, New York 10154-0053
(212) 758-4800
(212) 751-6849 (Fax)

PATENT

Docket No. 3606-4001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Gillin, Korfman and Raden

Serial No. : 09/302,218

Filing Date : April 29, 1999

For : **FINANCIAL SERVICES PAYMENT VEHICLE AND METHOD**



Group Art Unit: 2761

Examiner: To Be Assigned

Assistant Commissioner for Patents
BOX Missing Parts
Washington, D.C. 20231

SIR:

**RESPONSE TO NOTICE TO FILE MISSING
PARTS OF APPLICATION FILING DATE GRANTED**

In response to the Notice to File Missing Parts of Application (PTO-1533) issued in the above referenced case, enclosed is an executed Combined Declaration and Power of Attorney also enclosed is an Associate Power of Attorney, for the undersigned, for the identified application. Applicants also enclose a check in the amount of sixty-five dollars (\$65.00) to cover the Small Entity surcharge for filing the Declaration on a date later than the filing date of the application, pursuant to 37 C.F.R. §1.16(e). Applicants' claim for small entity status was filed on January 6, 1999 in the parent application. A Statement Claiming Small Entity Status for this specific application is filed concurrently herewith.

The Assistant Commissioner is hereby authorized to charge any additional fees which may be required for filing these papers, or credit any overpayment to Deposit Account No. 13-4500, Order No. 3606-4001. **A DUPLICATE COPY OF THIS SHEET IS ATTACHED.**

Respectfully submitted,

MORGAN & FINNEGAN, L.L.P.

Dated: July 13, 1999

By: 

Richard Straussman
Registration No. 39,847

CORRESPONDENCE ADDRESS:

MORGAN & FINNEGAN, L.L.P.
345 Park Avenue
New York, New York 10154
(212) 758-4800/(212) 751-6849 Facsimile

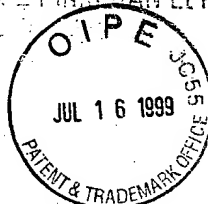


UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
097302,218	04/29/99	GILLIN	3606-4001

MORGAN & FINNEGAN LLP
345 PARK AVENUE
NEW YORK, NY 10154



NOT ASSIGNED

2761

DATE MAILED:

05/19/99

NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the **SURCHARGE** set forth in 37 CFR 1.16(e) of **\$65.00** for a small entity in compliance with 37 CFR 1.27, or **\$130.00** for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a
☐ small entity (statement filed) ☐ non-small entity is \$ _____.

☐ 1. The statutory basic filing fee is:

- ☐ missing
☐ insufficient

Applicant must submit \$ _____ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. The following additional claims fees are due:

\$ _____ for _____ total claims over 20.

\$ _____ for _____ independent claims over 3.

\$ _____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unsigned.
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.101(k) unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

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